

FinSA Client information

Based on the legal requirements of Art. 8ff. of the Financial Services Act (FINSAs), we would like to supply you with this information sheet which provides an overview of Blackfort Capital AG (hereinafter referred to as the «financial institution») and its services.

A. Company information

Address

Blackfort Capital AG
Talstrasse 61
8001 Zürich
Phone: +41 44 585 7878
Email: info@blackfort.ch
Website: www.blackfort.ch

The financial institution was established in 08.04.2016.

Supervisory authority and audit firm

Under the new Federal Act on Financial Institutions (FinIA), all financial institutions need a license from FINMA to carry out their professional activities as a portfolio manager. The financial institution received its license on 19.04.2022 and is supervised by the supervisory organization **FINControl Suisse AG**. The financial institution is audited by the auditing company **Caprev** both in terms of regulatory law and obligations. The address of the supervisory organization and the audit company can be found below.

Supervisory organization: FINControl Suisse AG
Street: General-Guisan-Strasse 6
Zip Code / City: 6300 Zug
Phone: +41 41 767 36 00
Email: info@fincontrol.ch
Website: www.fincontrol.ch

Audit Firm Name: CAPREV Wirtschaftsprüfung und -beratung AG
Address: Industriestrasse 47
Zip Code / City: 6300 Zug
Phone: +41 41 761 92 45
Email: info@caprev.ch
Website: www.caprev.ch

Ombudsman

The financial institution is affiliated with the independent ombudsman office **FINOS**, which is recognized by the Federal Department of Finance. Disputes concerning legal claims between the client and the financial services provider should be settled by an ombudsman's office, if possible, within the framework of a mediation procedure. The address of office **FINOS** is stated below.

FINOS Finanzombudsstelle Schweiz
Talstrasse 20
8001 Zürich
Phone: +41 44 552 0800
E-Mail: info@finos.ch
Website: <https://www.finos.ch>

B. Information on the offered financial services

The financial institution provides asset management services, transaction-based investment advisory services as well as execution-only services to its clients.

In the case of a transaction-based investment advisory mandate with the financial institution, a personal recommendation relating to individual financial instruments is provided to the client. The decision to buy or sell remains always ultimately with the client.

C. Client segmentation

Financial service providers are required to classify their clients into a client segmentation according to the law and adhere to the respective code-of-conduct. The Financial Services Act provides for «retail clients», «professional clients» and «institutional clients» segments. For each client, a client classification is determined within the framework of the cooperation with the financial institution. Subject to certain conditions, the client may change the client classification by opting out.

D. Information on risks and costs

General risks associated with financial instruments transactions

The investment advisory, asset management and execution-only services involve financial risks. The financial institution shall provide all clients with the «Risks associated with Financial Instruments Transactions» brochure prior to the execution of the contract. This brochure can also be found at www.swissbanking.org.

Clients of the financial institution may contact their client advisor at any time if they have any further questions.

Risks associated with the offered services

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant transaction-related investment advisory, asset management, and execution agreements.

Information on costs

A fee is charged for the services rendered, which is usually calculated on the assets under management and/or on a performance basis. For more detailed information, please refer to the relevant transaction-related investment advisory, asset management, and execution agreements.

E. Information about relationships with third parties

In connection with the financial services offered by the financial institution, economic ties may exist with third parties. The acceptance of payments from third parties as well as their treatment are regulated in detail and comprehensively in the respective relevant transaction-related investment advisory, asset management, and execution agreements.

F. Information on the market offer considered

The financial institution basically follows an «open universe approach» and tries to make the best possible choice for the client when selecting financial instruments. The financial institution's own collective investments can – where appropriate – be used in the asset management mandates or recommended as part of investment advice.